#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C.	20549
vvasiliigton,	D.C.	20040

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPR	OVAL						
OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(b) of the Investment Company Act of 1940

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Name and Address of Reporting Person*  October Reporting												Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Ostertag Eric																X Director			Owner
					<u> </u>									X		er (give title	е		(specify
(Last)	(Fir	rst) (f	Middle)					Trans	action (	(Montl	h/Day/Year)			-	belov	•		below	´ I
C/O POS	EIDA THE	ERAPEUTICS, I	NC.		08/0	)2/202	1								C	hief Exe	cutive	e Officer	
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(Ctroot)					4. 11 /	Amena	ment,	Date (	or Origin	iai Fiie	ed (Month/Da	ıyı rear)		Line)		John/Gro	up Filli	ng (Check	Applicable
(Street)	2CO CA		2121											X		filed by O	ne Re	porting Pe	rson
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-															Perso		0.0	0	portung
(City)	(Sta	ate) (2	Zip)																
		Table	I - No	on-Deriva	tive	Secu	rities	Acc	uired	l, Dis	sposed of	, or B	enef	icial	ly Own	ed			
1. Title of S	Security (Inst	tr. 3)		2. Transacti	ion		emed		3.		4. Securities	Acquir	ed (A)	or	5. Amou	nt of	6. Ov	nership	7. Nature of
, , ,		Date (Month/Day/Year)		ar)   if any		Transaction Code (Instr. 8)				str. 3, 4	and	Securities Beneficially Owned Following		Form: Direct (D) or Indirect (I) (Instr. 4)		Indirect Beneficial Ownership			
						Code	v	Amount	(A) or (D)	Pric	e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)			
Common	Stock			08/02/2	021				S <sup>(1)</sup>		7,167	D	\$8	.13 <sup>(2)</sup>	3,74	8,876		I	See footnote <sup>(3)</sup>
Common Stock 0		08/03/2	03/2021				S <sup>(1)</sup>		10,401	D	\$7	.99 <sup>(4)</sup>	3,738,475				See footnote <sup>(3)</sup>		
Common Stock		08/02/2021				S <sup>(1)</sup>		6,570	D	\$8	.13(2)	3,440,382			I	See footnote <sup>(5)</sup>			
Common Stock		08/03/2	2021				S <sup>(1)</sup>		9,535	D	\$7	57.99 <sup>(4)</sup> 3,4		30,847		I	See footnote <sup>(5)</sup>		
Common	Stock												T		542	,985		D	
Common Stock													629,194				See footnote <sup>(6)</sup>		
Common Stock													961,445				See footnote <sup>(7)</sup>		
Common Stock												200,696			I	See footnote <sup>(8)</sup>			
		Tal	ble II							-	osed of,				Owne	d			
			,	(e.g., pt	its, c	ans, v	varra	ınıs,	opuc	ms,	convertib	ie sec	urit	es)					
1. Title of Derivative Security  1. Title of Conversion or Exercise Price of Derivative Security  1. Title of Conversion or Exercise Price of Derivative Security  2. Cannot a stransaction Date Execution Date, if any (Month/Day/Year)			tion Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercis Expiration Dat (Month/Day/Ye		ate	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		D Se (Ii	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4)	e s Illy	10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)	
				Code	v	(A)	(D)	Date Exerci	Date Exp Exercisable Dat		Title	Amou or Numb of Share	er						

## **Explanation of Responses:**

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 23, 2020.
- 2. The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.03 to \$8.28 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 3. The shares are held in the name of the Eric Ostertag Living Trust dated March 30, 2016, of which the reporting person is the sole trustee.
- 4. The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.85 to \$8.12 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- 5. The shares are held in the name of Titan, LLC, which is owned by the Ostertag Descendents' Trust, of which the reporting person's minor daughter is the sole beneficiary. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other
- 6. The shares are held in the name of Twin Prime Investments, an entity wholly owned by the reporting person.
- 7. The shares are held in the name of the Ostertag Family Trust dated March 30, 2016, of which the reporting person is a trustee.
- 8. The shares are held in the name of Transposagen Biopharmaceuticals, Inc., of which Dr. Ostertag is a majority stockholder.

## Remarks:

# Attorney-in-Fact

\*\* Signature of Reporting Person

Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.